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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/527,417

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Shinichi Sakane

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EXAMINER

FOREMAN, JONATHAN M

ART UNIT

PAPER NUMBER

3736

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/527,417	<b>Applicant(s)</b> SAKANE ET AL.	
	<b>Examiner</b> JONATHAN ML FOREMAN	<b>Art Unit</b> 3736	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 June 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,4-8 and 11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-8 and 11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 2, 4 – 8 and 11 rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,291,054 to Thomas et al. in view of U.S. Patent Application Publication No. 2002/0082524 to Anderson et al. and U.S. Patent Application Publication No. 2002/0172829 to Mori et al.

In regard to claims 1, 2, 4 – 8 and 11 Thomas et al. disclose a fluororesin coating layer formed on a metal surface (Col. 9, lines 10 - 14) wherein particulate matter is present in the fluororesin coating layer (Col. 2, lines 67 - Col. 3, line 2), and the fluororesin coating and the particulate matter are baked as a single unit (Col. 8, lines 61 – 63) to at least a melting point of the fluororesin (Col. 8, lines 63 – 65) in that the melting point of PTFE is about 651°F (Plastics International); and wherein the fluororesin coating layer is an outermost layer that covers the particulate matter and at least some of the particulate matter is formed in surface protrusion-shaped smooth projections (Col. 3, lines 2 – 6, 22 – 27). Because the projections provide less surface area for contact with another object, the frictional resistance is reduced. Thomas et al. disclose a primer layer being further formed within the fluororesin coating layer; wherein particulate matter is present in at least one layer selected from the primer layer and the fluororesin coating layer; and wherein the fluororesin coating layer of the outermost layer covers the particulate matter and at least some of the

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particulate matter is formed in surface protrusion-shaped projections (Col. 4, lines 31 – 40). The thickness of the fluororesin coating layer is at least 1  $\mu\text{m}$  and not more than 50  $\mu\text{m}$  (Col. 3, line 36). The average height of the projections is at least 0.1  $\mu\text{m}$  and not more than 20  $\mu\text{m}$  (Col. 2, line 33). The fluororesin coating layer surface has a mixture of flat portions and numerous protrusion-shaped projections (Figure 1). The density of the protrusion-shaped projections is at least and average of 1 per 0.01  $\text{mm}^2$  (Col. 4, lines 60 – 64). Thomas et al. disclose the particulate matter being present in the primer layer (Col. 4, lines 31 – 40), and the particulate matter is fluororesin or a heat-resistant substance having a higher melting point than the fluororesin coating layer (Col. 7, lines 32 – 36; Col. 8, lines 37 – 41). The average particle diameter of the particulate matter is at least the film thickness of the primer layer, and the average particle diameter is in a range of 0.5 to 30  $\mu\text{m}$  (Col. 3, lines 14 – 16; Col. 4, lines 43 – 41). Thomas et al. disclose the substrate being any material such as metal and stainless steel (Col. 9, lines 10 – 14), but fails to disclose the substrate being a metal guide wire having a uniform thickness or a tapered tip. Anderson et al. disclose a guide wire formed of metal having a uniform thickness or a tapered tip (Figure 4) having any fluoropolymer coating [0019]. It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the substrate disclosed by Thomas et al. to be a metal guide wire as taught by Anderson et al. in order to produce a guide wire having a durable, non-stick coating with superior abrasion resistance (Col. 2, lines 8 - 9). Thomas et al. in view of Anderson et al. disclose the fluororesin coating layer including at least one of selected from the group consisting of polytetrafluoroethylene (PTFE), tetrafluoroethylene- perfluoroalkylvinyl ether copolymer (PFA), polychlorotrifluoroethylene (PCTFE), polyvinylidene fluoride (PVDF), polyvinyl fluoride (PVF), tetrafluoroethylene-hexafluoropropylene copolymer (FEP), and tetrafluoroethylene-ethylene copolymer (PETFE) (Col. 4, line 66 – Col. 5, line 19) and the particulate matter including a variety of materials (Col. 8, lines 37

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– 41). However, Thomas et al. in view of Anderson et al. fail to disclose the particulate matter including a fluoro-resin. Mori et al. disclose a coating layer including particulate matter, wherein the particulate matter is a fluoro-resin selected from the group consisting of polytetrafluoroethylene (PTFE), tetrafluoroethylene- perfluoroalkylvinyl ether copolymer (PFA), polychlorotrifluoroethylene (PCTFE), polyvinylidene fluoride (PVDF), polyvinyl fluoride (PVF), tetrafluoroethylene-hexafluoropropylene copolymer (FEP), and tetrafluoroethylene-ethylene copolymer (PETFE) [0051][0055]. The claims would have been obvious because a particular known technique was recognized as part of the ordinary capabilities of one skilled in the art. It would have been obvious to one having ordinary skill in the art at the time of the invention to apply the technique of using a fluoro-resin particulate as taught by Mori et al. for the predictable result of forming surface protrusions. Furthermore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use a fluoro-resin particulate as taught by Mori et al. to allow for continued friction reduction in the event that a particle protrudes out of the coating. The limitation related to the fluoro-resin coating layer and the particulate matter of fluoro-resin being baked by heating to melt into a single unit is a product by process limitation. As set forth in MPEP 2113, product by process claims are NOT limited to the manipulations of the recited steps, only to the structure implied by these steps. In the present case, the structure is particulate matter of fluoro-resin within a coating layer. This is disclosed by the combination of Thomas et al. in view of Anderson et al. and Mori et al.

### ***Response to Arguments***

3. Applicant's arguments filed 6/10/10 have been fully considered but they are not persuasive. Applicant asserts that Thomas et al. teach that fluoro-resin particles are incapable of deflecting abrasive forces from the coating and thus teaches away from using fluoro-resin particles. However,

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the Examiner disagrees. Thomas et al. state that the particulate matter may include a variety of materials (Col. 8, lines 37 – 41). Thomas et al. does not state that flouoresin particles should not be used. Mori et al. disclose a coating layer including particulate matter, wherein the particulate matter is a fluororesin. The claims would have been obvious because a particular known technique was recognized as part of the ordinary capabilities of one skilled in the art. It would have been obvious to one having ordinary skill in the art at the time of the invention to apply the technique of using a fluororesin particulate as taught by Mori et al. for the predictable result of forming surface protrusions. Furthermore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use a fluororesin particulate as taught by Mori et al. to allow for continued friction reduction in the event that a particle protrudes out of the coating. Applicant improperly states that the previous rejection erroneously associated the property of friction reduction to particles protruding out of the coating. This is not what the Examiner stated. Thomas et al. is clear that it is not desirable for particles to protrude from the coating (See 17 Figure 1). However, because the projections (18, 19, 20) of Thomas et al. rise above the surface of the coating and deflect abrasion force away from the coating, they also provide less surface area for the abrasive force to act against the coating, thus reducing a frictional resistance between the coating and a force against the coating. Prather (U.S. Patent No. 5,404,887) supports this rationale. Prather teaches utilizing projections on a surface to minimize the surface area between two components and thus reduce friction. In the case with a ceramic particle rising above the surface, friction would be greatly increased. However, if a flouoresin particle were to rise above the surface of the coating, the flouoresin particle would have a lower level of friction than that of a ceramic particle. In regard to “release”. It is noted that with the increase of surface area by forming the protrusions, that when used with food, which is capable of fitting between the protrusions, the increase in surface area

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would allow more friction and thus worse release between the food and the coating. However, this would not be the case when a guidewire is positioned within in a catheter. The protrusions would keep the catheter from coming into contact with the entirety of the coating (See Prather). The limitation related to the floureresin coating layer and the particulate matter of fluoreresin being baked by heating to melt into a single unit is a product by process limitation. As set forth in MPEP 2113, product by process claims are NOT limited to the manipulations of the recited steps, only to the structure implied by these steps. In the present case, the structure is particulate matter of floureresin within a coating layer. This is disclosed by the combination of Thomas et al. in view of Anderson et al. and Mori et al.

### ***Conclusion***

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JONATHAN ML FOREMAN whose telephone number is (571)272-4724. The examiner can normally be reached on Monday - Friday 8:00 am - 4:30 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Max Hindenburg can be reached on (571)272-4726. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. M. F./  
Examiner, Art Unit 3736

/Max Hindenburg/  
Supervisory Patent Examiner, Art Unit 3736